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Applicant: Jacques Joseph Labrie
 Docket: ST9-98-004
 Title: TRANSFORMATION LINEAGE MODEL

CERTIFICATE UNDER 37 CFR 1.10

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 09/22/542
 12/28/98

BOX PATENT APPLICATION
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- ☒ Transmittal sheet, in duplicate, containing Certificate under 37 CFR 1.10.
- ☒ Utility Patent Application: Spec. 17 pgs; 18 claims; Abstract 1 pg.
The fee has been calculated as shown below in the 'Claims as Filed' table.
- ☒ 8 sheets of formal drawings
- ☒ A signed Combined Declaration and Power of Attorney
- ☒ Assignment of the invention to International Business Machines Corporation, Recordation Form Cover Sheet
- ☒ A check in the amount of \$760.00 to cover the Filing Fee
- ☒ A check for \$40.00 to cover the Assignment Recording Fee.
- ☒ Return postcard

CLAIMS AS FILED

Number of Claims Filed		In Excess of:		Number Extra		Rate		Fee
Basic Filing Fee								\$760.00
Total Claims								
18	-	20	=	0	x	18.00	=	\$0.00
Independent Claims								
3	-	3	=	0	x	78.00	=	\$0.00
MULTIPLE DEPENDENT CLAIM FEE								\$0.00
TOTAL FILING FEE								\$760.00

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TRANSFORMATION LINEAGE MODEL

PROVISIONAL APPLICATION

This application claims the benefit of U.S. Provisional Application No. 60/072,550, entitled "TRANSFORMATION/LINEAGE MODEL," filed on January 26, 1998, by Jacques J. Labrie, attorney's reference number ST9-98-004, which is incorporated by reference herein.

CROSS-REFERENCE TO RELATED APPLICATIONS

This application is related to the following co-pending and commonly-assigned patent applications:

Application Serial No. ---/---, ---, entitled "METADATA SYNCHRONIZATION," filed on same date herewith, by Jing Huang Chu et al., attorney's docket number ST9-98-003;

U.S. Patent No. 5,717,925, issued on February 10, 1998, entitled "INFORMATION CATALOG SYSTEM WITH OBJECT-DEPENDENT FUNCTIONALITY," filed on June 5, 1996, by Lloyd Harper et al., attorney's docket number SA9-93-078X, which is a continuation of Application Serial No. 08/135,355, filed on October 8, 1993, by Lloyd Harper et al., attorney's docket number SA9-93-078, which was abandoned on June 5, 1996; and

U.S. Patent No. 5,721,911, issued on February 24, 1998, entitled "MECHANISM FOR METADATA," filed on June 25, 1996, by Khanh D. Ha et al., attorney's docket number ST9-96-0026;

all of which applications are incorporated by reference herein.

BACKGROUND OF THE INVENTION

1. Field of the Invention.

This invention relates in general to computer-implemented objects, and in particular, to maintaining object relationships.

2. Description of Related Art.

Databases are computerized information storage and retrieval systems. A Database Management System (DBMS) stores and retrieves data in databases.

Databases are organized into tables which consist of rows and columns of data. The rows are formally called tuples. A database will typically have many tables and each table will typically have multiple tuples and multiple columns. The tables are typically stored on random access storage devices (RASD) such as magnetic or optical disk drives for semi-permanent storage. A DBMS can be an object-oriented database management system (OODBMS). An object-oriented database is organized into objects having members that can be pointers to other objects.

Some systems store catalog objects using an information catalog. In particular, for each object to be cataloged, there is a corresponding metadata object in the information catalog describing the object. A metadata object contains metadata, which is information about other data. For example, metadata could be information that describes the name of a table or the location of a document, but it is not the actual data in the table or document. The metadata for an object in the information catalog may change over time. Currently, it is difficult to identify the changes that occurred.

Thus, there is a need in the art for a technique for determining the transformation lineage of data.

SUMMARY OF THE INVENTION

To overcome the limitations in the prior art described above, and to overcome other limitations that will become apparent upon reading and understanding the present specification, the present invention discloses a method, apparatus, and article of manufacture for a transformation lineage model.

According to the present invention, data stored on a data storage device connected to a computer is navigated. In response to receiving user input, a target object in an information catalog is selected. Then, information about a source from which the target object was derived is provided.

BRIEF DESCRIPTION OF THE DRAWINGS

Referring now to the drawings in which like reference numbers represent corresponding parts throughout:

FIG. 1 is an exemplary hardware environment used to implement the preferred embodiment of the invention;

FIG. 2 illustrates a network of computers sharing an information catalog;

FIG. 3 illustrates the logical structure of the information catalog;

FIG. 4 illustrates windows displayed by the information catalog system;

FIG. 5 is a block diagram illustrating an information catalog;

FIG. 6 is a diagram illustrating Window containing a tree structure representing objects in an information catalog;

FIG. 7 illustrates the transformation models used by the TLM system; and

FIG. 8 is a flow diagram illustrating the steps performed by the TLM system to provide transformation and lineage information to a user.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

In the following description of the preferred embodiment, reference is made to the accompanying drawings which form a part hereof, and in which is shown by way of illustration a specific embodiment in which the invention may be practiced. It is to be understood that other embodiments may be utilized and structural and functional changes may be made without departing from the scope of the present invention.

Hardware Environment

FIG. 1 is an exemplary hardware environment used to implement the preferred embodiment of the invention. The present invention is typically implemented using a computer 100, which generally includes, inter alia, a processor 102, random access memory (RAM) 104, data storage devices 106 (e.g., hard, floppy, and/or CD-ROM disk drives, etc.), data communications devices 108 (e.g., modems, network interfaces, etc.), monitor 110 (e.g., CRT, LCD display, etc.), mouse pointing device 112 and keyboard 114. It is envisioned that attached to the computer 100 may be other devices such as read only memory (ROM), a video card, bus interface, printers, etc. Those skilled in the art will

recognize that any combination of the above components, or any number of different components, peripherals, and other devices, may be used with the computer 100.

5 The computer 100 operates under the control of an operating system (OS) 116, such as MVS™, AIX™, OS/2™, WINDOWS NT™, WINDOWS™, UNIX™, etc. The operating system 116 is booted into the memory 102 of the computer 100 for execution when the computer 100 is powered-on or reset. In turn, the operating system 116 then controls the execution of one or more computer programs 118 by the computer 100. The present invention is generally implemented in these computer programs 118, which execute under the control of the operating system 116 and cause the computer 100 to perform the desired functions as described herein. Alternatively, the present invention may be implemented in the operating system 116 itself.

15 The operating system 116 and computer programs 118 are comprised of instructions which, when read and executed by the computer 100, causes the computer 100 to perform the steps necessary to implement and/or use the present invention. Generally, the operating system 116 and/or computer programs 118 are tangibly embodied in and/or readable from a device, carrier, or media, such as memory 102, data storage devices 106, and/or a remote device coupled to the computer 100 via the data communications devices 108. Under control of the operating system 116, the computer programs 118 may be loaded from the memory 102, data storage devices 106, and/or remote devices into the memory 102 of the computer 100 for use during actual operations.

20 Thus, the present invention may be implemented as a method, apparatus, or article of manufacture using standard programming and/or engineering techniques to produce software, firmware, hardware, or any combination thereof. The term "article of manufacture" (or alternatively, "computer program product") as used herein is intended to encompass a computer program accessible from any computer-readable device, carrier, or media. Of course, those skilled in the art will recognize many modifications may be made to this configuration without departing from the scope of the present invention.

25 Those skilled in the art will recognize that the exemplary environment illustrated in FIG. 1 is not intended to limit the present invention. Indeed, those skilled in the art will recognize that other alternative hardware environments may be used without departing from the scope of the present invention.

Overview

One embodiment of the present invention provides a Transformation Lineage Model ("TLM") System 118. The TLM System 118 allows an information catalog user
5 to determine the lineage of warehouse data. Warehouse data refers to a large amount of data stored on a data storage device. Warehouse data may be stored in a database. The TLM system provides an transformation model. In particular, the TLM system provides a structure, such as a tree structure, with objects or data on nodes of the tree. A user can select a node of the tree to obtain information about the lineage of the data at that node.
10 Lineage refers to the source of the data or the modification that resulted in the current state of the data.

Information Catalog System

The information catalog system may be DataGuide™, a product from International
15 Business Machines Corporation, Armonk, New York. The information catalog system is further described in the above cross-referenced applications. An overview of the information catalog will be provided for better understanding of the metadata synchronizer.

The information catalog system enables users to find out what data is available in
20 a corporate environment. In particular, the information catalog system enables categorization. This allows users to add their own terminology to existing names for objects, including full names and information about what is stored. Also, the information catalog system enables users to organize information better in, for example, a flat list or tree structure. Also, the information catalog system enables users to access
25 the data itself.

The information catalog system allows knowledge workers to define metadata (or "meta information") of objects types and object instances corresponding to information managed by one or more data storage resources under the control of one or more data processing nodes. The user-defined object types are customizable and extendable by
30 knowledge workers or data processing administrators familiar with the objects. The users determine what information should be captured, what it is to be called, and how it

is organized. The information catalog has no prior knowledge of the object types users will generate. However, the information catalog supports the user-generated object type with a defined set of information catalog functional services that are appropriate to the object types generated by users.

5 The information catalog system defines a plurality of functional categories within which the user-defined object types may be generated. Each functional category represents a categorization and/or subclassing of a super class of functional services provided by the information catalog. The functional categories to which the object types are assigned become part of the object type definition and limit the functions available to
10 each object type. An object type is a data structure that may be thought of as a subclass object that encapsulates the functions inherited from the categories class to which the object type belongs, together with one or more property attributes corresponding to information that the knowledge worker wishes to catalog. The object types can be populated with object instances that are generated by assigning values to the property
15 attributes to create meta information objects that uniquely identify units of information to be cataloged by the knowledge worker.

 In the information catalog, the functional categories to which the object types may be assigned may include, but are not limited to, a "Grouping" category, an "Element" category, a "Program" category, a "Dictionary" category, a "Contact"
20 category, and a "Support" category. For example, the "Grouping" category may contain a "Business Group" object type and a "Tables" object type.

 All of the user-defined object types of the information catalog are placed in one of these six categories. Each category represents a distinct set of product functionality, such as "Information" objects corresponding to information from one or more data
25 storage resources to be cataloged and "Support" objects corresponding to information that supports the cataloging of information defined by the Information objects.

 FIG. 2 illustrates a network 200 of computers sharing an information catalog 202. The information catalog 202 ("information catalog") contains metadata objects. The computers 206 and 208 are connected via a local area network ("LAN") 210 to each
30 other and to the information catalog 202. The information catalog system resides on each of the computers 206 and 208. The information catalog system may reside on

different platforms, including, but not limited to, a Windows 95/NT™ system 206 and 208.

The information catalog system may be used to catalog business information. When searching for information to solve business problems, users want to find the
5 quickest and easiest route to the information. Users can do their searching with the information catalog system, without ever leaving their desktop. The information catalog system can be used in organizations of any size to catalog business information and make it available to anyone who needs it. Thus, the information catalog system enables multiple people to share information.

10 The information catalog system has powerful searching capabilities to help users locate information quickly and easily. Users can search on keywords, values, and types of information. Users can save searches to reuse at any time. Running saved searches enables users to view the most current information. Users can place saved search results on a desktop for easy future reference, thus avoiding redundant searches.

15 The information catalog system enables users to browse information that is available. Information is organized into a logical structure so users can locate the information needed. Users can use the built-in icon legend for quick reference to the types of information available, such as spreadsheets, charts, reports, tables, queries, images, video, and more. FIG. 3 illustrates windows 300, 302, and 304 that illustrate the
20 logical structure of the information in the information catalog. By double clicking on an object displayed in one of the windows, 300, 302, and 304, a user obtains additional detail of that object.

For end users, the information catalog system provides a starting point for accessing information. It speeds users toward problem solving, and away from duplicate
25 efforts to recreate what already exists. For information systems professionals, the information catalog system offers client/server efficiency, improves user satisfaction and frees up valuable time to focus on critical issues. For organizations, the information catalog system helps eliminate redundancy and expense, while extending the value of existing information resources.

30 The information catalog system helps users quickly set up an information catalog and customize the information catalog for an organization's needs. A comprehensive set

of extractor utilities paves the way for users to access a wide variety of information sources. Users can build a glossary of special terms and jargon to support the use of consistent terminology throughout the users' company. The information catalog system lets users provide a list of information contacts to help others know where to go for more assistance. It also provides a news facility to quickly notify users of any updates to the information catalog system information.

The information catalog system leverages users' information investments by using the information catalog system to merge the power of mainframe and mid-size computers with the convenience of client/server technology. The information catalog system not only finds information, it also gives users the option to launch the desktop applications they use to process the information. FIG. 4 illustrates windows 400, 402, and 404 displayed by the information catalog system. The data catalog system enables users to start a program to retrieve and work with information, such as a spreadsheet of sales figures as in window 400. Additionally, users can use Uniform Resource Locators (URLs) to catalog home pages with the information catalog system as illustrated in windows 402 and 404.

The information catalog system can be used with a variety of popular software products, IBM and non-IBM, that users may already have. It is part of a complete solution that brings the information needed to the user's desktop. The information catalog system information is stored on DB2™, a database management system from IBM. The information catalog system provides a work group solution for information sharing. The information catalog system enables staff and management teams to locate key business information no matter where it is stored in the enterprise. The information catalog system provides an information catalog current and consistent information throughout the company. The information catalog system launches decision support and desktop tools to access data. The information catalog system provides an integration point with multiple vendors, such as Business Objects™.

The information catalog system makes it possible to determine what information is available, where it resides, what it means, what form it's in, who can provide additional information or assistance in regard to it, and how it is produced. It puts

productivity, flexibility and manageability on the desktop of the Management and other Business Users.

The information catalog system provides a powerful business-oriented solution to help end users locate, understand, and access enterprise data. In client/server information catalogs, business metadata (data about data) can be described in business terms, organized into subject areas, and customized for a user workgroup's or enterprise's needs. The information catalog system is an Data Warehouse facility for integrating and managing end-user business metadata.

The information catalog system enables users to: locate data in the information catalog by keyword text search or navigation ("drill down") through a subject area and business groupings, to understand data by browsing metadata descriptions in business terms, and to access the data by launching applications directly from the information catalog system. Additionally, the information catalog system communicates comments and corrections on metadata descriptions to the information catalog system administrator via a comments object and shares administration of the information catalog system information catalog (when authorized).

The information catalog system provides an easy-to-use graphic interface in either the Microsoft Windows NT environment, the Windows 95 environment, or through the World Wide Web ("WWW" or "Web"). The information catalog system shares the same information catalogs with other the information catalog system users across a LAN or enterprise and identifies the owners for data via the contact object.

Transformation Lineage Model

The TLM system 118 provides a mechanism which allows a user to determine the lineage of warehouse data by traversing a transformation model. TLM system 118 provides users with a tree structure that represents the data the users wish to view. The TLM system 118 allows users to select any data that they want to access, which can be anywhere on the tree. If the users have questions about how the data they are looking at was derived, the users can navigate the information catalog via the tree structure to see any "transformations" that were applied to generate the data. From this point, the users can continue with their data analysis or continue to follow the lineage by looking at the

metadata about the source data. This TLM system 118 allows users to drill from the target warehouse data back to the original source data and learn how the target warehouse data was derived.

5 The TLM system 118 is especially advantageous in that it is used to describe a process applied to data. The TLM system 118 describes the transformation of data as it moves in a data warehouse. Moreover, the TLM system 118 defines the lineage of data. That is, the TLM system 118 indicates to the user what the sources for the warehouse were.

10 The TLM system 118 may be used, for example, to determine that there is a problem with the target data. A user using the TLM system 118 can drill down to the source data, which may indicate that some of the source data is missing or that incorrect data was submitted from the source. This information provided by the TLM system 118 enables the user to correct the source data. The TLM system 118 can tie any objects together, for example, database tables or spreadsheets created by different users.

15 In particular, the user may register objects for which metadata is to be maintained in an information catalog from a graphical user interface ("GUI") for the Visual Warehouse™ product, which is a product from International Business Machines, Corporation, Armonk, NY. Once these objects are registered, changes in the metadata of the objects at their source or tool (e.g., at the Visual Warehouse™) are detected by a
20 metadata synchronizer on a timed basis. The metadata synchronizer will refresh metadata in the information catalog supported by the information catalog system.

FIG. 5 is a block diagram illustrating an information catalog 508. The Visual Warehouse™ tool 500 takes data from Source-1 502 and/or Source-2 504 and modifies or adds to data in the Target 506. The Visual Warehouse™ tool 500 can perform various
25 operations on the source data, including, but not limited to, joining tables, deriving information, transforming data, and computing a value. Source-1 502 contains an object having a tree structure and containing employee information. Source-2 504 contains a table object of employee information. The Visual Warehouse™ tool 500, for example, can operate on the objects of Source-1 502 and Source-2 504 to modify a table object in
30 the Target 506.

DataGuide™ displays an information catalog 508, represented with a tree structure and identifying objects that have been registered with DataGuide™. Some of the objects include employee information objects, such as an employee's address ("Employee Home Address") 514 or an employee's number ("Employee Number") 512. The Visual Warehouse™ tool 500 may have modified the metadata for the employee's number from Source-1 and the employee's address from Source-2. The metadata synchronizer 510 monitors the Visual Warehouse™ tool 500, detects that metadata for objects registered with DataGuide™ has changed, and updates the metadata in the information catalog 508 to reflect the changes.

The TLM system 118 enables a user to select an object in the information catalog 508. Selection can occur, for example, by using an input device, such as a mouse, to point at and click on the object to be selected. For example, if a user selected the Employee Number object 512 in the information catalog 508, the TLM system 118 would provide lineage information indicating that the source of this data is Source-1 502. If a user selected the Emp_Addr object 514 in the information catalog 508, the TLM system 118 would provide lineage information indicating that the source of this data is Source-2 504. Moreover, if a user selected the Employee Monthly Compensation Salary object 516, the TLM system 118 could drill down to provide the derivation of the data for this object 516.

FIG. 6 is a diagram illustrating Window 600 containing a tree structure representing objects in an information catalog. The tree 602 has nodes that represent the objects in the information catalog. The "Finance" node 604 represents a target warehouse database containing financial data. When a user selects the "Finance" node 604, the TLM system 118 drills down to the "FINANCE.COST_BY_LOCATION" node 606, which represents a target table.

When a user selects the "FINANCE.COST_BY_LOCATION" node 606, the TLM system 118 drills down to show the target columns for this table 608 along with a transformation producing function represented by the "Sum of cost by location" node 610. The transformation producing function transforms data, for example, by summing values. In particular, the transformation producing function represented by the "Sum of cost by location" node 610 produces "FINANCE.COST_BY_LOCATION" data. For

example, the "FINANCE.COST_BOSTON" node 612 shows finance costs for the location Boston. The "Sum of cost by location" node 610 also can contain the details of the transformation itself (e.g., the SQL or program logic that modified the source).

The TLM system 118 displays the "FINANCE.COST_BOSTON" node 612 when a user selects the "Sum of cost by location" node 610. The "FINANCE.COST_BOSTON" node 612 represents the source table used in the transformation producing function. Similarly, a user could continue to obtain transformation and lineage information by navigating the information catalog represented by tree 602.

FIG. 7 illustrates the transformation models used by the TLM system 118. A user views a graphical tree structure representing data from the target, which was derived from a source, via transformations. However, the TLM system 118 internally maintains transformation models that are used to provide a user with the capability to determine the lineage of warehouse data from its original source to the final target table in the data warehouse. For example, for one transformation object 702, the transformation object 704 is a database model 706. Similarly, for transformation object 608, the transformation model 710 is a file model 712. These objects and models contain the information that the TLM system 118 uses to provide a user with lineage information. For example, the TLM system 118 can use the transformation models to maintain information about the source of data in a target.

FIG. 8 is a flow diagram illustrating the steps performed by the TLM system 118 to provide transformation and lineage information to a user. In Block 800, in response to receiving user input, the TLM system 118 selects a target object in an information catalog. In Block 802, the TLM system 118 provides information about a source from which the target object was derived.

Conclusion

This concludes the description of the preferred embodiment of the invention. The following describes some alternative embodiments for accomplishing the present invention. For example, any type of computer, such as a mainframe, minicomputer, or

The foregoing description of the preferred embodiment of the invention has been presented for the purposes of illustration and description. It is not intended to be exhaustive or to limit the invention to the precise form disclosed. Many modifications and variations are possible in light of the above teaching. It is intended that the scope of the invention be limited not by this detailed description, but rather by the claims appended hereto.

WHAT IS CLAIMED IS:

- 1 1. A method of navigating data stored on a data storage device connected to a
2 computer, comprising the steps of:
3 in response to receiving user input, selecting a target object in an information
4 catalog; and
5 providing information about a source from which the target object was derived.
- 1 2. The method of claim 1, the target object is represented as a node in a tree
2 structure.
- 1 3. The method of claim 1, wherein the step of providing information further
2 comprises the step of providing transformation information.
- 1 4. The method of claim 3, wherein the step of providing transformation
2 information further comprises the step of identifying a transformation producing function.
- 1 5. The method of claim 1, wherein the step of providing information further
2 comprises the step of providing lineage information.
- 1 6. The method of claim 5, further comprising the step of maintaining
2 transformation models for use in providing the lineage information.
- 1 7. An apparatus for navigating data, comprising:
2 a computer having a memory and a data storage device coupled thereto that stores
3 the data;
4 one or more computer programs, performed by the computer, for, in response to
5 receiving user input, selecting a target object in an information catalog and providing
6 information about a source from which the target object was derived.

1 8. The apparatus of claim 7, the target object is represented as a node in a
2 tree structure.

1 9. The apparatus of claim 7, wherein the means for providing information
2 further comprises the means for providing transformation information.

1 10. The apparatus of claim 9, wherein the means for providing transformation
2 information further comprises the means for identifying a transformation producing
3 function.

1 11. The apparatus of claim 7, wherein the means for providing information
2 further comprises the means for providing lineage information.

1 12. The apparatus of claim 11, further comprising the means for maintaining
2 transformation models for use in providing the lineage information.

1 13. An article of manufacture comprising a program storage medium readable
2 by a computer and embodying one or more instructions executable by the computer to
3 perform method steps for navigating data stored on a data storage device, the method
4 comprising the steps of:
5 in response to receiving user input, selecting a target object in an information
6 catalog; and
7 providing information about a source from which the target object was derived.

1 14. The article of manufacture of claim 13, the target object is represented as a
2 node in a tree structure.

1 15. The article of manufacture of claim 13, wherein the step of providing
2 information further comprises the step of providing transformation information.

1 16. The article of manufacture of claim 15, wherein the step of providing
2 transformation information further comprises the step of identifying a transformation
3 producing function.

1 17. The article of manufacture of claim 13, wherein the step of providing
2 information further comprises the step of providing lineage information.

1 18. The article of manufacture of claim 17, further comprising the step of
2 maintaining transformation models for use in providing the lineage information.

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ABSTRACT

A method, apparatus, and article of manufacture for a transformation lineage model. Data stored on a data storage device connected to a computer is navigated. In response to receiving user input, a target object in an information catalog is selected.

- 5 Then, information about a source from which the target object was derived is provided.

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Date of Deposit: DECEMBER 28, 1996
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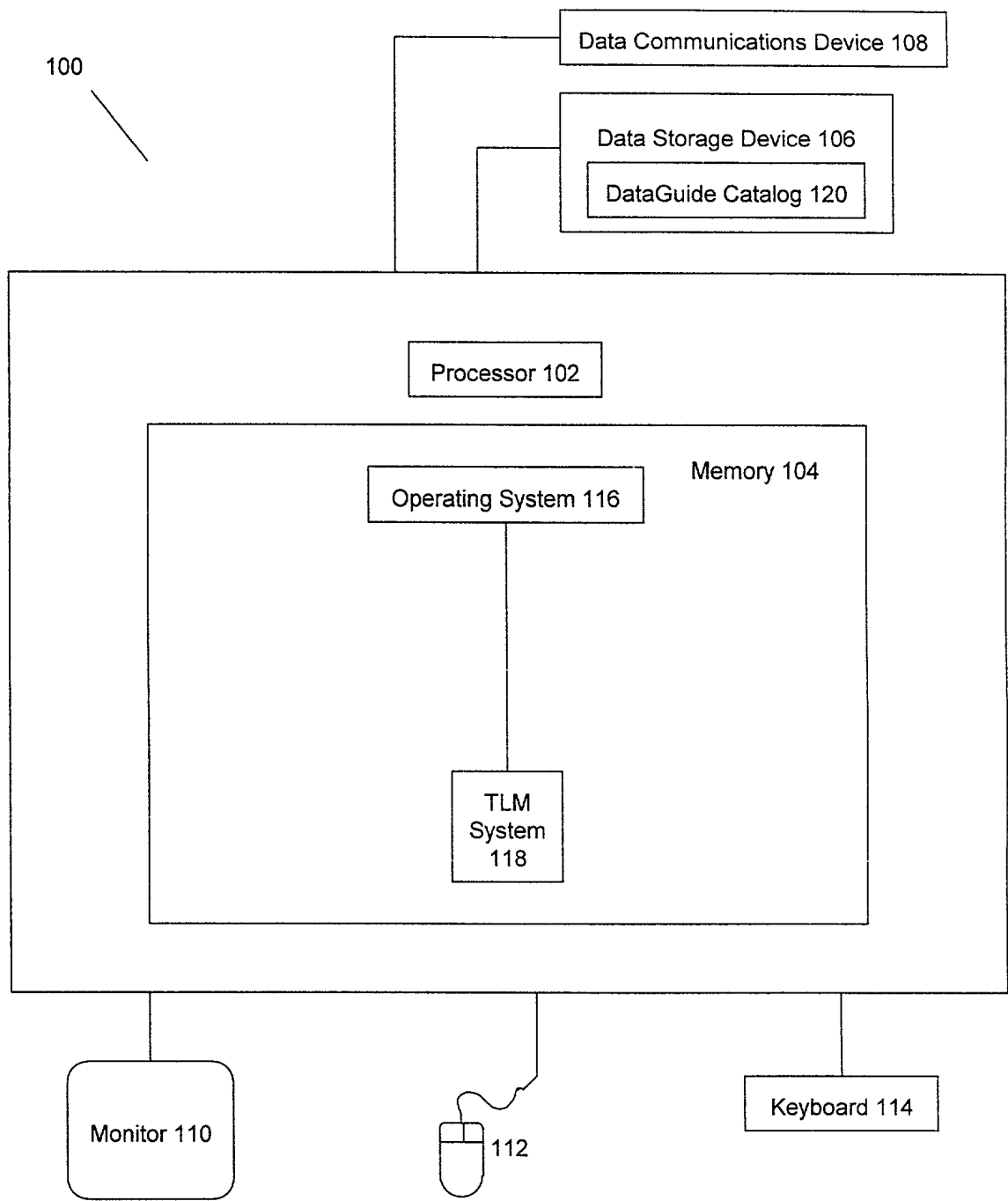
JOSE GONZALES

(printed name)

(printed name)
J. M. Gonzalez
(signature)

(signature)

FIG. 1



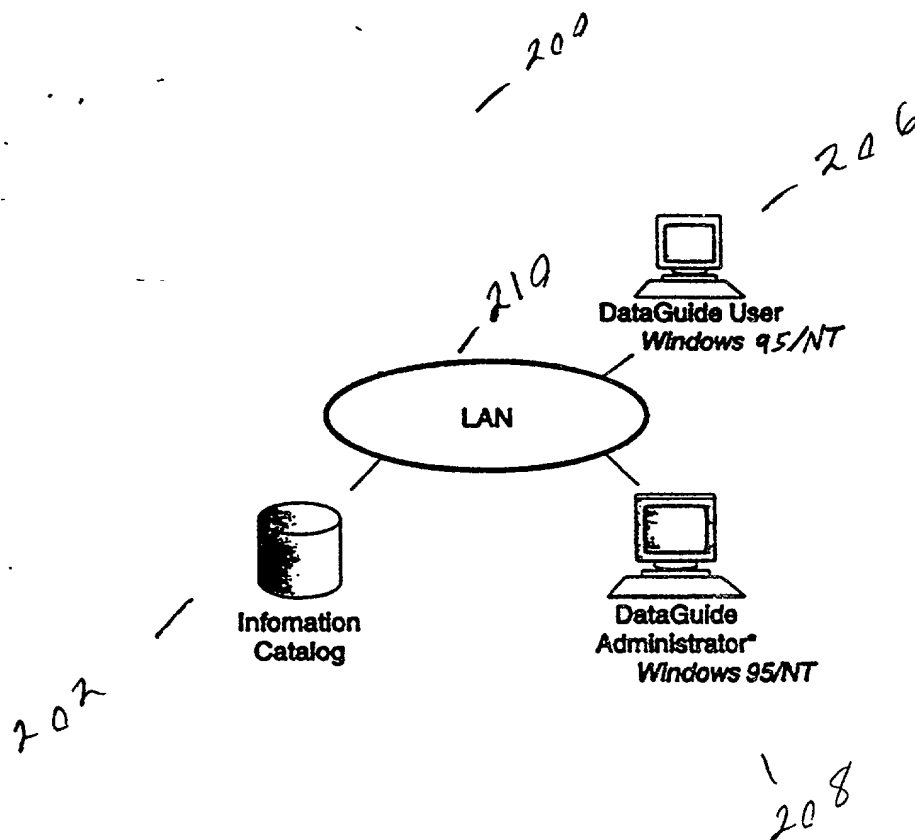


FIG. 2

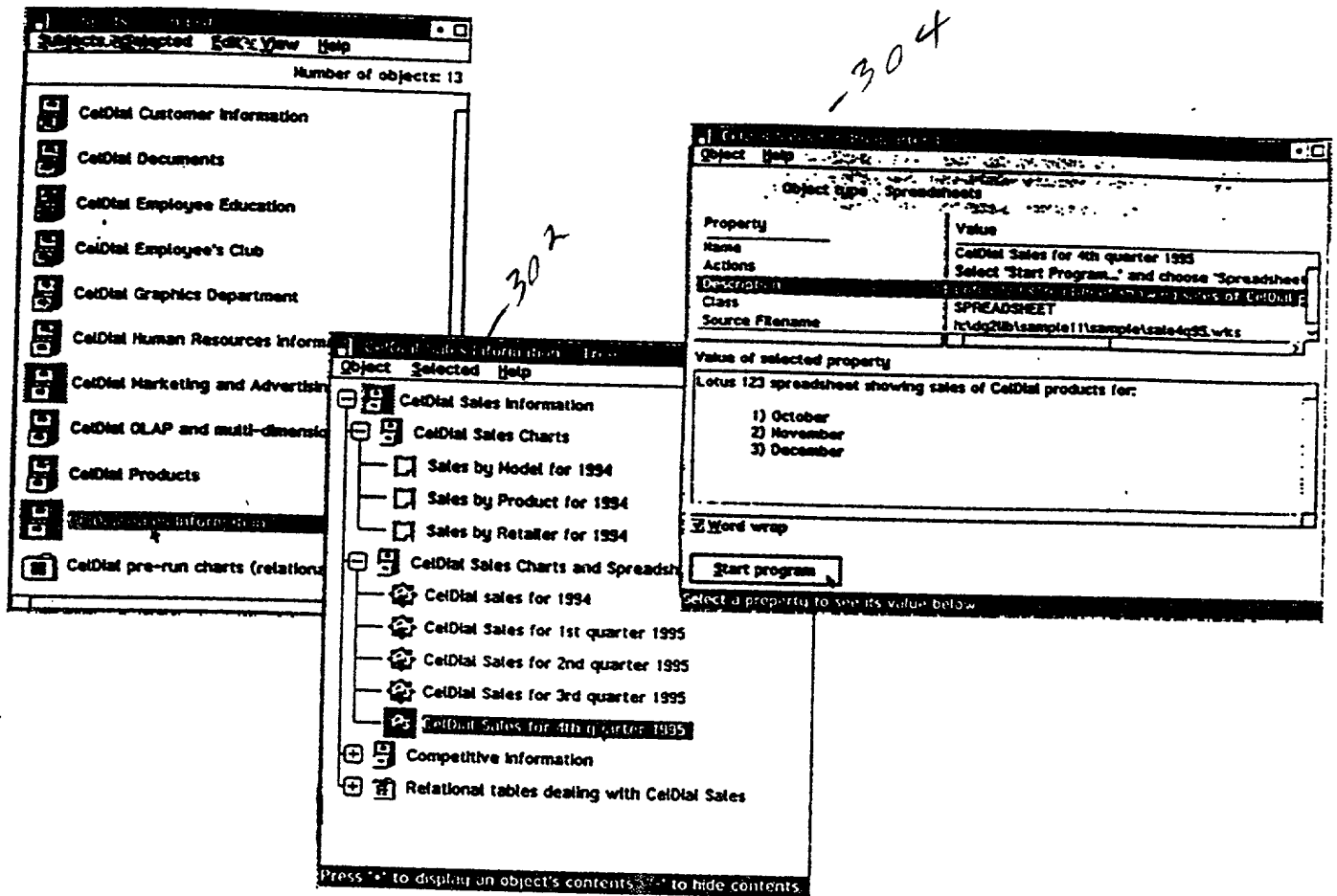


FIG. 3

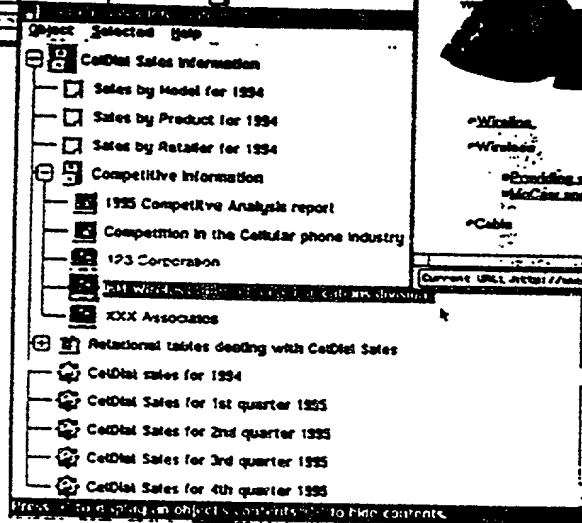
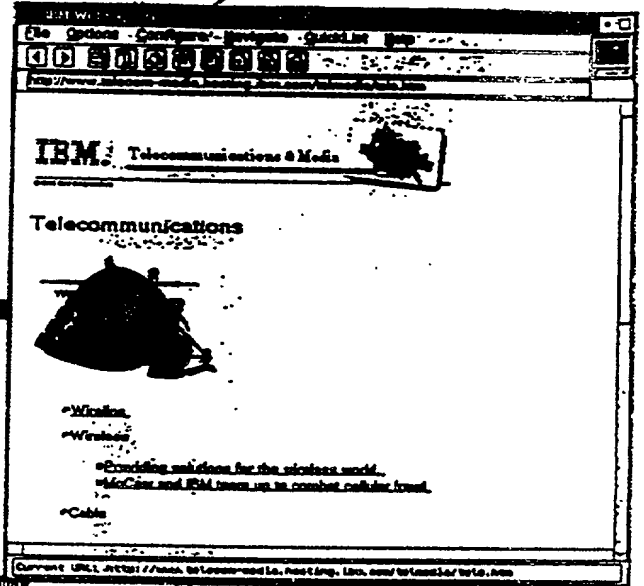
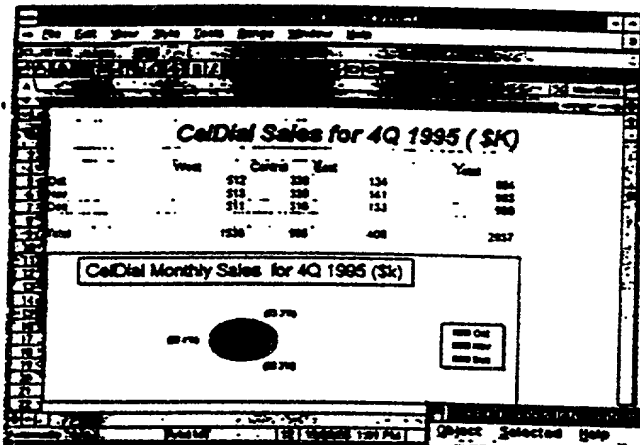


FIG. 4

FIG. 5

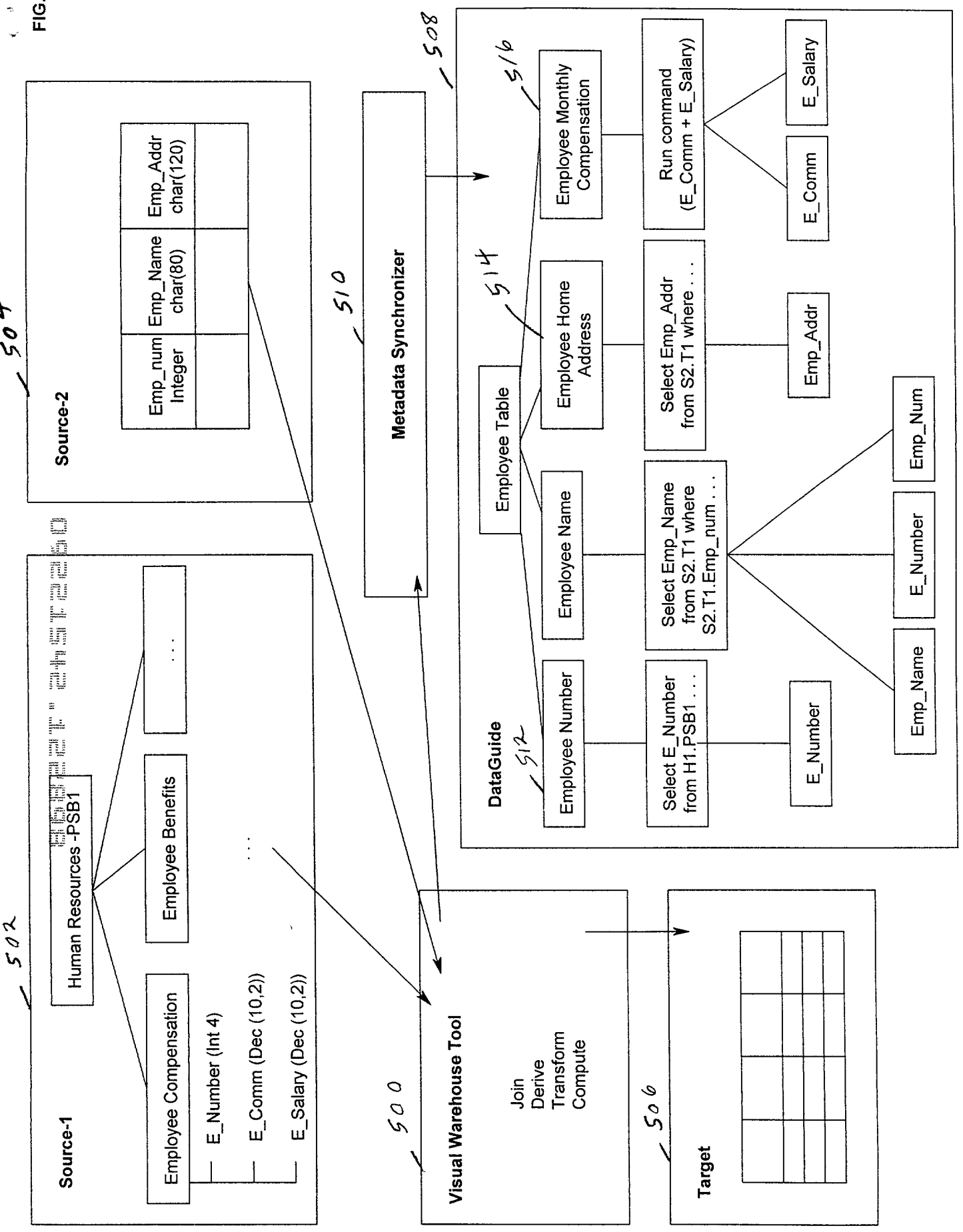


FIG. 6

New Memo - Lotus

View

Save As

File two

met

tran

Subject: Jean List

CellDial Customer Information

Finance - Tree

Finance

+

FINANCE.COST_BOSTON

-

FINANCE.COST_BY_LOCATION

-

\$\$\$VEDITION

-

COST

-

LOCATION

-

MACHINE_TYPE

-

QUANTITY

-

YEARMONTH

-

Sum of cost by location

-

FINANCE.COST_BOSTON

-

\$\$\$VEDITION

-

MACHINE_TYPE

-

SERIAL

-

TOTAL_COST

-

YEARMONTH

-

Manufacturing cost in Boston

-

JOHN.COSTL10

-

GA

-

LOC

-

MACH_TYPE

-

MFG_COST

-

SERIAL

+

FINANCE.COST_NEWYORK

+

FINANCE.COST_PITTSBURGH

+

FINANCE.COST_SANJOSE

Parts database D&D definiti

600

604

606

602

608

610

612

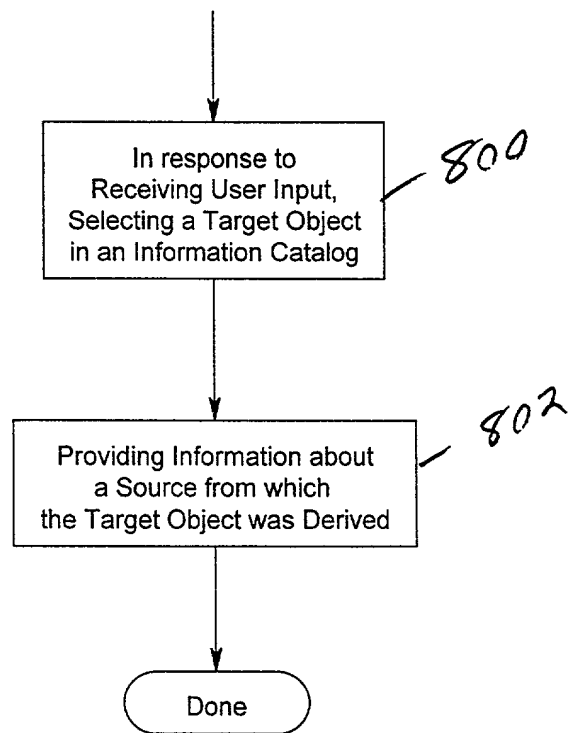
Thanks,
Jacques Labr
Jacques Labr

704
 Transformation Object
 Transformation Model Database Model
 Transformation Object 708
 Transformation Model File Model 712
 Transformation Object
 Transformation Model Record Model
 Transformation Object
 Transformation Model Dimension Model

Transformation Object
 Transformation Model Table Model
 Transformation Object
 Transformation Model Segment Model
 Transformation Object
 Transformation Model Column Model

F16.7

FIG. 8



DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

DOCKET: ST9-98-004

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

TRANSFORMATION LINEAGE MODEL

the specification of which (check one)

☒ is attached hereto.
☐ was filed on _____
☐ as Application Serial No. _____
☐ and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)			Priority Claimed	
None			Yes	No
(Number)	(Country)	(Day/Month/Year Filed)		
_____	_____	_____	_____	_____

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56, which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

None		
(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
_____	_____	_____

I hereby claim the benefit under Title 35, United States Code § 119(e) of the United States provisional application listed below:

U.S. Provisional Application No. 60/072,550, filed January 26, 1998.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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DOCKET: ST9-98-004

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

Joseph F. Villella, Jr., Registration No. 30,298, Prentiss W. Johnson, Registration No. 33,123, Ingrid M. Foerster, Registration No. 36,511, Timothy M. Farrell, Registration No. 37,321, Christopher A. Hughes, Registration No. 26,914, John E. Hoel, Registration No. 26,279, Edward A. Pennington, Registration No. 32,588, and Joseph C. Redmond, Jr., Registration No. 18,753, all of whom are attorneys with IBM Corporation; and

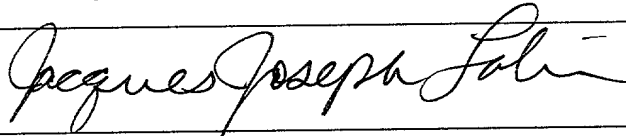
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Inventor's signature:



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